

**LIST OF RECORDS AND INFORMATION  
REQUIRED FOR THE ENTRY OF MERCHANDISE  
(a)(1)(A) List**

The following records (which includes, but is not limited to, any Statement, declaration, document, or electronically generated or machine readable data) are required by law or regulation for the entry of merchandise and are required to be maintained and produced to Customs upon reasonable demand (whether or not Customs required its presentation at the time of entry). Information may be submitted to Customs at time of entry in a Customs authorized electronic or paper format. Not every entry of merchandise requires all of the following information. Only those records or information applicable to the entry requirements for the merchandise in question will be required/mandatory. The list may be amended as Customs reviews its requirements and continues to implement the Customs Modernization Act. When a record or information is filed with and retained by Customs, the record is not subject to record keeping penalties, although the underlying backup or supporting information from which it is obtained may also be subject to the general record retention regulations and examination or summons pursuant to 19 U.S.C. 1508 and 1509.

(All references, unless otherwise indicated, are to title 19, Code of Federal Regulations, April 1, 1995 Edition, as amended by subsequent Federal Register notices.)

I. General list or records required for most entries. Information shown with an asterisk (\*) is usually on the appropriate form and filed with and retained by Customs:

**SECTION**

- 141.11-.15** Evidence of right to make entry (airway bill/bill of lading or \*carrier certificate, etc.) when goods are imported on a common carrier.
- 141.19** \*Declaration of entry (usually contained on the entry summary or warehouse entry)
- 141.32** Power of attorney (when required by regulations)
- 141.54** Consolidated shipments authority to make entry (if this procedure is utilized)
- 142.3** Packing list (where appropriate)
- 142.4** Bond information (except if 10.101 or 142.4(c) applies)
- Parts 4,18, 122,123** \*Vessel, Vehicle or Air Manifest (filed by the carrier)

II. The following records or information are required by 141.61 on Customs Form (CF) 3461 or CF 7533 or the regulations cited.

Information shown with an asterisk (\*) is contained on the appropriate form and/or otherwise filed with and retained by Customs:



- 142.3, .3a** \*Entry Number
- \*Entry Type Code
- \*Elected Entry Date
- \*Port Code
- 142.4** \*Bond information
- 141.61,142.3a** \*Broker/Importer Filer Number
- 141.61,142.3** \*Ultimate Consignee Name and Number /street address of premises to be delivered
- 141.61** \*Importer of Record Number
- \*Country of Origin
- 141.11** \*IT/BL/AWB Number and Code
- \*Arrival Date
- 141.61** \*Carrier Code
- \*Voyage/Flight/Trip
- \*Vessel Code/Name
- \*Manufacturer ID Number (for AD/CVD must be actual mfr.)
- \*Location of Goods-Code(s)/Name(s)
- \*U.S. Port of Unlading
- \*General Order Number (only when required by the regulations)
- 142.6** \*Description of Merchandise
- 142.6** \*HTSUSA Number
- 142.6** \*Manifest Quantity
- \*Total Value
- \*Signature of Applicant

III. In addition to the information listed above, the following records or items of information are required by law and regulation for the entry of merchandise and are presently required to be produced by the importer of record at the time the Customs Form 7501 is filed.

- 141.61** \*Entry Summary Date
- 141.61** \*Entry Date
- 142.3** \*Bond Number, Bond Type Code and Surety code
- 142.3** \*Ultimate Consignee Address
- 141.61** \*Importer of Record Name and Address
- 141.61** \*Exporting Country and Date Exported
- \*I.T. (In-bond) Entry Date (for IT Entries only)
- \*Mode of Transportation (MOT Code)
- 141.61** \*Importing Carrier Name
- 141.82** Conveyance Name/Number
- \*Foreign Port of Lading
- \*Import Date and Line Numbers
- \*Reference Number
- \*HTSUS Number
- 141.61** \*Identification number for merchandise subject to Anti-dumping or Countervailing duty order (ADA/CVD Case Number)
- 141.61** \*Gross Weight
- \*Manifest Quantity
- 141.61** \*Net Quantity in HTSUSA Units
- 141.61** \*Entered Value, Charges, and Relationship
- 141.61** \*Applicable HTSUSA Rate, ADA/CVD Rate, I.R.C. Rate, and/or Visa Number, Duty, I.R. Tax, and Fees (e.g. HMF, MPF, Cotton)
- 141.61** Non-Dutiable Charges
- 141.61** \*Signature of Declarant, Title, and Date



	*Textile Category Number
<b>141.83,86</b>	Invoice information which includes-e.g., date, number, merchandise (commercial product) description, quantities, values, unit price, trade terms, part, model, style, marks and numbers, name and address of foreign party responsible for invoicing, kind of currency Terms of Sale Shipping Quantities Shipping Units of Measurements Manifest Description of Goods Foreign Trade Zone Designation and Status Designation (if applicable) Indication of Eligibility for Special Access Program (9802/GSP/CBI) CF 5523
<b>141.89</b>	Corrected Commercial Invoice
<b>141.89, et al</b>	
<b>141.86 (e)</b>	Packing List
<b>177.8</b>	
	*Binding Ruling Identification Number (or a copy of the ruling)
<b>10.102</b>	Duty Free Entry Certificate (9808.00.30009 HTS)
<b>10.108</b>	Lease Statement

IV. Documents/records or information required for entry of special categories of merchandise (The listed documents or information is only required for merchandise entered (or required to be entered) in accordance with the provisions of the sections of 19 CFR (the Customs Regulations) listed). These are In addition to any documents/records or information required by other agencies in their regulations for the entry of merchandise:

<b>4.14</b>	CF 226 Information for vessel repairs, parts and equipment
<b>7.8(a)</b>	CF 3229 Origin certificate for insular possessions
<b>7.8(b)</b>	CF 3311 and Shipper's declaration for insular possessions
<b>Part 10</b>	Documents required for entry of articles exported and returned:
<b>10.1-10.6</b>	Foreign shipper's declaration or master's certificate, declaration for free entry by owner, importer or consignee
<b>10.7</b>	Certificate from foreign shipper for reusable containers
<b>10.8</b>	Declaration of person performing alterations or repairs Declaration for non-conforming merchandise
<b>10.9</b>	Declaration of processing
<b>10.24</b>	Declaration by assembler endorsement by importer
<b>10.31,,35</b>	Documents required for Temporary Importations Under Bond: Information required, Bond or Carnet
<b>10.36</b>	Lists for samples, professional equipment, theatrical effects Documents required for Instruments of International Traffic:
<b>10.41</b>	Application, Bond or TIR carnet Note: additional 19 U.S.C. 1508 records: see 10.41b(e)
<b>10.43</b>	Documents required for exempt organizations
<b>10.46</b>	Request from head of agency for 9808.00.10 or 9808.00.20 HTSUS treatment Documents required for works of art
<b>10.48</b>	Declaration of artist, seller or shipper, curator, etc
<b>10.49,,52</b>	Declaration by institution
<b>10.53</b>	Declaration by importer USFWS Form 3-177, if appropriate
<b>10.59,,63</b>	Documents/ CF 5125/ for withdrawal of ship supplies
<b>10.66,,67</b>	Declarations for articles exported and returned



<b>10.68.,69</b>	Documents for commercial samples, tools, theatrical effects
<b>10.70.,71</b>	Purebred breeding certificate
<b>10.84</b>	Automotive Products certificate
<b>10.90</b>	Master records and metal matrices: detailed statement of cost of production.
<b>10.98</b>	Declarations for copper fluxing material
<b>10.99</b>	Declaration of non-beverage ethyl alcohol, ATF permit
<b>10.101-.102</b>	Stipulation for government shipments and/or certification for government duty-free entries, etc.
<b>10.107</b>	Report for rescue and relief equipment
<b>15 CFR 301</b>	Requirements for entry of scientific and educational apparatus
<b>10.121</b>	Certificate from USIA for visual/auditory materials
<b>10.134</b>	Declaration of actual use (When classification involves actual use)
<b>10.138</b>	End Use Certificate
<b>10.171</b>	Documents, etc. required for entries of GSP merchandise
<b>10.173,10.175</b>	GSP Declaration (plus supporting documentation)
<b>10.174</b>	Evidence of direct shipment
<b>10.179</b>	Certificate of importer of crude petroleum
<b>10.180</b>	Certificate of fresh, chilled or frozen beef
<b>10.183</b>	Civil aircraft parts/simulator documentation and certifications
<b>10.191-.198</b>	Documents, etc. required for entries of CBI merchandise CBI declaration of origin (plus supporting information)
<b>10.194</b>	Evidence of direct shipment
<b>[10.306</b>	Evidence of direct shipment for CFTA]
<b>[10.307</b>	Documents, etc. required for entries under CFTA Certificate of origin of CF 353]

[CFTA provisions are suspended while NAFTA remains in effect. See part 181]

<b>12.6</b>	European Community cheese affidavit
<b>12.7</b>	HHS permit for milk or cream importation
<b>12.11</b>	Notice of arrival for plant and plant products
<b>12.17</b>	APHIS Permit animal viruses, serums and toxins
<b>12.21</b>	HHS license for viruses, toxins, antitoxins, etc for treatment of man
<b>12.23</b>	Notice of claimed investigational exemption for a new drug
<b>12.26-.31</b>	Necessary permits from APHIS, FWS & foreign government certificates when required by the applicable regulation
<b>12.33</b>	Chop list, proforma invoice and release permit from HHS
<b>12.34</b>	Certificate of match inspection and importer's declaration
<b>12.43</b>	Certificate of origin/declarations for goods made by forced labor, etc.
<b>12.61</b>	Shipper's declaration, official certificate for seal and otter skins
<b>12.73 12.80</b>	Motor vehicle declarations
<b>12.85</b>	Boat declarations(CG-5096) and USCG exemption
<b>12.91</b>	FDA form 2877 and required declarations for electronics products
<b>12.99</b>	Declarations for switchblade knives
<b>12.104-.104I</b>	Cultural property declarations, statements and certificates of origin
<b>12.105-.109</b>	Pre-Columbian monumental and architectural sculpture and murals certificate of legal exportation evidence of exemption
<b>12.110</b>	Pesticides, etc. notice of arrival
<b>12.118-.127</b>	Toxic substances: TSCA statements
<b>12.130</b>	Textiles & textile products Single country declaration Multiple country declaration VISA



<b>12.132</b>	NAFTA textile requirements
<b>54.5</b>	Declaration by importer of use of use of certain metal articles
<b>54.6 (a)</b>	Re-Melting Certificate
<b>114</b>	Carnets (serves as entry and bond document where applicable)
<b>115</b>	Container certificate of approval
<b>128</b>	Express consignments
<b>128.21</b>	*Manifests with required information (filed by carrier)
<b>132.23</b>	Acknowledgment of delivery for mailed items subject to quota
<b>133.21(b)(6)</b>	Consent from trademark or trade name holder to import otherwise restricted goods
<b>134.25,.36</b>	Certificate of marking; notice to repacker
<b>141.88</b>	Computed value information
<b>141.89</b>	Additional invoice information required for certain classes of merchandise including, but not limited to:

**Textile Entries:** Quota charge Statement, if applicable including Style Number, Article Number and Product

**Steel Entries:** Ordering specifications, including but not limited to, all applicable industry standards and mill certificates, including but not limited to, chemical composition.

<b>143.13</b>	Documents required for appraisalment entries bills, statements of costs of production value declaration
<b>143.23</b>	Informal entry: commercial invoice plus declaration
<b>144.12</b>	Warehouse entry information
<b>145.11</b>	Customs Declaration for Mail, Invoice
<b>145.12</b>	Mail entry information (CF 3419 is completed by Customs but formal entry may be required.)
<b>148</b>	Supporting documents for personal importations
<b>151 subpart B</b>	Scale Weight
<b>151 subpart B</b>	Sugar imports sampling/lab information (Chemical Analysis)
<b>151 subpart C</b>	Petroleum imports sampling/lab information Out turn Report 24. to 25. - Reserved
<b>151 subpart E</b>	Wool and Hair invoice information, additional documents
<b>151 subpart F</b>	Cotton invoice information, additional documents
<b>181.22</b>	NAFTA Certificate of origin and supporting record
<b>19 USC 1356k</b>	Coffee Form O (currently suspended)

### Other Federal and State Agency Documents

State and Local Government Records  
Other Federal Agency Records (See 19 CFR Part 12, 19 U.S.C. 1484, 1499)  
Licenses, Authorizations, Permits

### Foreign Trade Zones

<b>146.32</b>	Supporting documents to CF 214
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